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CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Alexandria, Virginia on

September 17, 2004.

Janice Rosier/ct

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Dirk EFFERENN et al

Serial No.:

10/501,464

Filing Date:

July 14, 2004

For:

METHOD FOR MASKING A RECESS

IN A STRUCTURE HAVING A HIGH

ASPECT RATIO

Examiner: Not yet assigned

Group Art Unit: Not yet assigned

INFORMATION DISCLOSURE **STATEMENT UNDER 37 CFR 1.97 & 1.98**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.97 and 1.98, Applicants submit for consideration in the aboveidentified application the document listed on the attached Form PTO-1449. A copy of the documents is also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is being submitted within three months of the application filing date; accordingly, no fee or separate requirements are required.

> U.S. Application No. 10/501,464 Docket No.: 543822005500

va-78301

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 CFR 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief is required, applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing <u>543822005500</u>.

Respectful

Dated: September 17, 2004

5- -- E

Sy: ______ Kevin R. Spivak

Registration No.: 43,148

submitted.

MORRISON & FOERSTER LLP

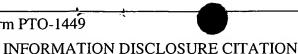
2000 Pennsylvania Avenue

Washington, D.C. 20006

(202) 887-1525 - Telephone No.

(202) 887-0763 - Facsimile No.

Form PTO-1449



Docket Number: 543822005500

Application Number: 10/501,464

Applicant

Dirk EFFERENN et al.

(Use several sheets if necessary)

IN AN APPLICATION

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U.S. PATENT DOCUMENTS

O IHAUS							
Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	03/19/1991	5,001,079	Van Laarhoven et al.			6/20/89
	2.	03/20/2001	6,204,200	Shieh et al.			4/22/98
	3.	11/17/1998	5,837,618	Avanzino et al.			8/6/97
	4.	10/31/2000	6,140,207	Lee			9/4/98
	5.	07/05/2001	2001/0006839	Yeo			12/18/00
	6.	04/21/1998	5,741,740	Jang et al.			6/12/97
	7.	02/8/2000	6,022,802	Jang			3/18/99
	8.	11/29/2001	2001/0046777	Kim et al.			7/30/99
	9.	03/17/1998	5,728,631	Wang			9/29/95

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO	
	10.	06/28/2001	DE 199 59 966	Germany				X
	11.	11/17/1988	JP 63-281441	Japan			abstract	

OTHER DOCUMENTS (including author, title, Date, Pertinent Pages, Etc.) Title Examiner Ref **Initials** No.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.